



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Osborne, et al.

Appln. No.: 10/737,313

Filed: December 16, 2003

For: HEMOSTASIS CANNULA

Attorney Docket No: 8627-454

Examiner: Unknown

Art Unit: Unknown

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement; Form PTO-1449 and cited references  
☒ Return Receipt Postcard

Fee calculation and payment:

- ☒ No additional fee is required.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 43=			x \$86=	
+\$145=			+ \$290=	
Total	\$		Total	\$

Fee calculation and payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

February 10, 2004

Date

John M. Card (Reg. No. 48,423)  
Attorney/Agent for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: February 10, 2004

Name: Terry Wand

Signature:

*Terry Wand*

BRINKS  
HOFER  
GILSON  
& LIONE

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610



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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
1,180,665	4/25/1916	McElroy
2,546,672	3/27/1951	LeClair
3,402,710	9/24/1968	Paleschuck
3,853,127	12/10/1974	Spademan
3,900,028	8/19/1975	McPhee
3,977,400	8/31/1976	Moorehead
4,000,739	1/4/1977	Stevens
4,143,853	3/13/1979	Abramson
4,177,814	12/11/1979	Knepshield, et al.
4,334,551	6/15/1982	Pfister
4,412,836	11/1/1983	Brignola
4,424,833	1/10/1984	Spector, et al.
4,430,081	2/7/1984	Timmermans
4,434,810	3/6/1984	Atkinson
4,436,519	3/13/1984	O'Neill
4,475,548	10/9/1984	Muto
4,496,348	1/26/1985	Genese, et al.
4,610,665	9/9/1986	Matsumoto, et al.
4,610,674	9/9/1986	Suzuki, et al.
4,626,245	12/2/1986	Weinstein
4,634,432	1/6/1987	Kocak
4,657,772	4/14/1987	Kocak
4,705,511	11/10/1987	Kocak
4,723,550	2/9/1988	Bales, et al.



4,755,173	7/5/1988	Konopka, et al.
4,798,594	1/17/1989	Hillstead
4,809,679	3/7/1989	Shimonaka, et al.
4,895,346	1/23/1990	Steigerwald
4,909,798	3/20/1990	Fleischhacker, et al.
4,946,133	8/7/1990	Johnson, et al.
4,960,412	10/1990	Fink
5,006,133	4/9/1991	Fischer
5,064,416	11/12/1991	Newgard, et al.
5,080,654	1/14/1992	Picha, et al.
5,092,857	3/3/1992	Fleishhacker
5,098,393	3/24/1992	Amplatz, et al.
5,104,383	4/14/1992	Schichman
5,106,054	4/21/1992	Mollenauer, et al.
5,114,408	5/19/1992	Fleischhaker, et al.
5,122,122	6/16/1992	Allgood
5,154,701	10/13/1992	Cheer, et al.
5,167,637	12/1/1992	Okada, et al.
5,195,980	3/23/1993	Catlin
5,201,725	4/1993	Kling
5,211,633	5/18/1993	Stouder, Jr.
5,211,634	5/18/1993	Vaillancourt
5,269,764	12/14/1993	Vetter, et al.
5,300,033	4/5/1994	Miller
5,300,034	4/5/1994	Behnke, et al.
5,409,463	4/25/1995	Thomas, et al.
5,520,663	5/28/1996	Patterson, et al.
6,200,262	2/2001	Ouchi
WO91/10459	7/25/1991	PCT
WO95/21642	8/1995	PCT
WO97/15338	5/1997	PCT
0316096A2	5/17/1989	EP

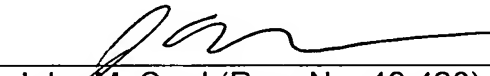
A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Feb. 12, 2004  
Date

  
\_\_\_\_\_  
John M. Card (Reg. No. 48,423)  
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (four sheets)  
Copies of listed references



FORM PTO-1449	APPLICATION NO. 10/737,313	CASE NO. 8627-454
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE December 16, 2003	ART UNIT Unknown
APPLICANT(S): Osborne, et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	1,180,665	4/25/1916	McElroy		
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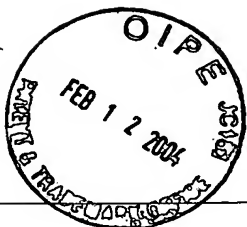
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	WO91/10459	7/25/1991	PCT		
	WO95/21642	08/1995	PCT		
	WO97/15338	05/1997	PCT		
	0316096A2	5/17/1989	Europe		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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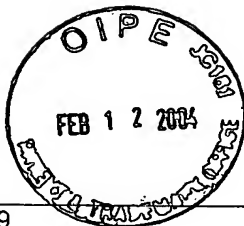
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	5,167,637	12/1/1992	Okada, et al.		
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